SBIR - STTR: Advancing Medicine Through Innovation

The NIH Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs award federal research grants and contracts to small businesses conducting biomedical research. The purpose of these programs is to commercialize innovative technologies that improve health and save lives.

NIH’s SBIR and STTR Programs Can Help Your Small Business Receive R&D Funding

Follow these steps to find out how to get started on your application:

1. Determine which Funding Opportunity is Best Suited for You
   - SBIR (PA-16-302) and STTR (PA-16-303)
     - NIH is interested in YOUR research ideas! Apply using the SBIR or STTR Omnibus solicitation
   - Targeted FOAs
     - NIH’s Institutes and Centers (ICs) also release SBIR/STTR solicitations focused around particular topics
   - Other FOAs
     - For a full list of SBIR/STTR solicitations, including Direct Phase II, CRP and the SBIR Contract, visit: https://sbir.nih.gov/funding

2. Decide between SBIR and STTR Funding
   - SBIR:
     - Principal Investigator: Must be employed by the small business
     - Research Partner: Research partner optional, total effort up to 33% for Phase I and 50% for Phase II
   - STTR:
     - Principal Investigator: Can be employed by the small business or a non-profit research institution
     - Research Partner: Required. Formal collaborative effort between small business (minimum 40%) and non-profit research institution (minimum 30%)

3. Submit Your Application Early
   - The grants registration process can take 6-8 weeks!
   - There are 5 required registrations:
     1. DUNS
     2. Sam.gov
     3. Grants.gov
     4. eRA Commons
     5. SBA Registry
   - Standard Grant Due Dates:
     - Phase I and Phase II: September 5, January 5, April 5
   - View NIH SBIR/STTR Success Rates: https://sbir.nih.gov/statistics

If you have questions about any of these steps, please contact sbir@od.nih.gov, or the program officer at the NIH IC that’s most clearly related to your research topic.

Contact information is located here: https://sbir.nih.gov/engage/ic-contacts

Stay Connected!
Subscribe to the SBIR/STTR listserv:
Email listserv@list.nih.gov with this text in the message body: “subscribe SBIR-STTR your name”

Contact Us:
sbir@od.nih.gov
Website:
sbir.nih.gov
Follow us on Twitter:
@NIHsbir
Follow the below steps to get started on the application process!

1. Confirm your small business meets eligibility requirements
2. Develop an innovative research idea for your SBC
3. Five required registrations:
   - DUNS
   - SAM.gov
   - Grants.gov
   - eRA Commons
   - SBA Registry
4. SBIR Omnibus (PA-16-302)
5. STTR Omnibus (PA-16-303)
6. Contact NIH before applying
7. OBTAIN OUTSIDE FUNDING SOURCES
   - Full R&D for 2 years - $1M SBIR, $1M STTR
   - SUBMIT FOR PHASE II
8. Niche Assessment Program:
   - Provides active Phase I awardees with market research, and consumer and competition analysis.
9. Commercialization Accelerator Program (CAP):
   - Trains Phase II awardees on developing strategies related to market entry, partnerships, regulatory and reimbursement paths, financing, and intellectual property.
10. NIH Technology Assistance Programs: Helping You Bridge the Gap
    - Niche Assessment Program:
    - Provides active Phase I awardees with market research, and consumer and competition analysis.
    - Commercialization Accelerator Program (CAP):
    - Trains Phase II awardees on developing strategies related to market entry, partnerships, regulatory and reimbursement paths, financing, and intellectual property.

Visit sbir.nih.gov for more information!

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